



Fractal Capital Investments LLP
AWFIS, 10th Floor, Parinee Cresenzo,
B-Wing, G - Block, Bandra Kurla Complex, Bandra East
Mumbai - 400051
Maharashtra

PMS INVESTOR REPORT

SEBI Registration Number - INP000007146

From 01/10/2023 To 31/12/2023

Account : 7410076 FRACTAL CAPITAL INVESTMENTS LLP
FCI LLP WEALTH OPTIMIZER II

Account Statement

Name of Distributor: Fractal Capital Investments LLP

Account overview:

Particulars

| | |
|--|--|
| Name of the Client | FRACTAL CAPITAL INVESTMENTS LLP |
| PAN | AAGFF5187K |
| Address | C-402 LOKHANDWALA RESIDENCY MANJREKAR MARG OFF E MOSES ROAD , WORLI MUMBAI 400018 MAHARASHTRA |
| Email | COMPLIANCE@FRACTALCAPITAL.IN |
| Phone Number | 7021950569 |
| Unique Client Code | FCIJA143 |
| Account Activation date | 06/10/2023 |
| Type of Portfolio Management Service | Discretionary |
| Investment approach for the account | FCI LLP WEALTH OPTIMIZER II |
| Benchmark for the investment approach | S&P BSE 500 TRI |
| Amount managed under the Investment Approach (in INR Crores) | 3.20 |
| % AUM under investment approach | 2.66 % |

Portfolio Details:

Portfolio Allocation

| Type of Security | Purchase Value (in INR) | Market Value as on 31/12/2023 (in INR) | Assets Under Management (%) |
|--------------------|-------------------------|--|-----------------------------|
| Bonds / Debentures | 782,633.79 | 846,920.57 | 99.61% |
| Cash / Bank | 3,341.32 | 3,341.32 | 0.39% |
| Total | 785,975.11 | 850,261.90 | 100.00% |

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Account : 7410076 FRACTAL CAPITAL INVESTMENTS LLP

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Portfolio Summary:

| Particulars | (in INR) |
|----------------------------------|------------|
| Portfolio Value at the beginning | 0.00 |
| Portfolio Value at the end | 850,261.90 |
| For the report period | |
| 1. Capital Inflow | 900,000.00 |
| 2. Capital Outflow | 110,000.00 |
| 3. Interest Income | -3,291.12 |
| 4. Dividend Income | 0.00 |
| 5. Other Income | 0.00 |
| Change in accruals | 5,520.35 |
| 6. Management Fee | 0.00 |
| 7. Performance Fee | 0.00 |
| 8. Expenses at actual | 0.00 |
| 9. Other expenses | 733.77 |
| 10. Realized Gain/ Loss | 0.00 |
| 11. Unrealized Gain/ Loss | 58,766.43 |
| Commission paid to Distributor | 0.00 |
| Brokerage paid | 1,075.81 |

Performance of Portfolio:

Performance report for Client Portfolio and Investment Approach (TWRR %)

| Particulars | 1 Year | 3 Years | 5 Years | 10 Years | Since Inception |
|--|--------|---------|---------|----------|-----------------|
| Returns of Client Portfolio | | | | | 7.47 |
| Aggregate Returns of Investment Approach | | | | | 10.89 |
| Benchmark Performance(S&P BSE 500 TRI) | | | | | 13.00 |

| Internal Rate of Return | XIRR% |
|---|-------|
| XIRR of the client portfolio | 7.47 |
| Maximum XIRR of the investment approach | 20.55 |
| Minimum XIRR of the investment approach | 0.53 |
| Median XIRR of the investment approach | 14.65 |

Please note that performance of your portfolio may vary from that of other investors and that generated by the Investment Approach across all investors because of 1) the timing of inflows and outflows of funds and 2) differences in the portfolio composition because of restrictions and other constraints 3) Performance data for Portfolio Manager and Investment Approach provided hereunder is not verified by any regulatory authority.

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From 01/10/2023 To 31/12/2023

Account : 7410076 FRACTAL CAPITAL INVESTMENTS LLP

FCI LLP WEALTH OPTIMIZER II

Transaction Details:

(i) Capital Contribution (from inception till end of reporting period)

| Date | Capital Inflow | Capital Outflow |
|--------------|-------------------|-------------------|
| 06/10/2023 | 900,000.00 | 0.00 |
| 19/10/2023 | 0.00 | 110,000.00 |
| Total | 900,000.00 | 110,000.00 |

(ii) Investments (during the reporting period)

| Security Name | Transaction Date | Buy / Sell | Quantity | Gross Rate | Net Rate* | Net Transaction Value |
|--|------------------|------------|----------|------------|-----------|-----------------------|
| 2.50% Sovereign Gold Bond Scheme 2018-19 Series I 04 May 2026 | 06/10/2023 | Buy | 9.00 | 5,767.48 | 5,775.43 | 51,978.89 |
| 2.50% SovereignGold Bond Scheme 2017- 18 Series I 12 May 2025 | 06/10/2023 | Buy | 3.00 | 5,770.88 | 5,778.83 | 17,336.48 |
| 2.50% SovereignGold Bond Scheme 2017- 18 Series I 12 May 2025 | 09/10/2023 | Buy | 3.00 | 5,820.67 | 5,828.69 | 17,486.07 |
| 2.50% Sovereign Gold Bond Scheme 2017-18 Series XIII 26 Dec 2025 | 09/10/2023 | Buy | 5.00 | 5,830.30 | 5,838.32 | 29,191.60 |
| 2.50% Sovereign Gold Bond Scheme 2017-18 Series XIII 26 Dec 2025 | 10/10/2023 | Buy | 4.00 | 5,830.10 | 5,838.12 | 23,352.49 |
| 2.50% Sovereign Gold Bond Scheme 2018-19 Series I 04 May 2026 | 10/10/2023 | Buy | 18.00 | 5,816.45 | 5,824.46 | 104,840.36 |
| 2.50% SovereignGold Bond Scheme 2017- 18 Series I 12 May 2025 | 10/10/2023 | Buy | 18.00 | 5,819.47 | 5,827.48 | 104,894.71 |
| 2.50% Sovereign Gold Bond Scheme 2018-19 Series IV 31 Dec 2026 | 10/10/2023 | Buy | 18.00 | 5,822.84 | 5,830.86 | 104,955.52 |
| 2.50% Sovereign Gold Bond Scheme 2018-19 Series V 22 Jan 2027 | 10/10/2023 | Buy | 18.00 | 5,832.37 | 5,840.39 | 105,126.95 |
| 2.50% Sovereign Gold Bond Scheme 2017 18 Series II 28 Jul 2025 | 10/10/2023 | Buy | 18.00 | 5,835.65 | 5,843.67 | 105,186.06 |
| 2.50% SovereignGold Bond Scheme 2017- 18 Series I 12 May 2025 | 17/10/2023 | Buy | 2.00 | 5,893.03 | 5,901.15 | 11,802.30 |
| 2.50% Sovereign Gold Bond Scheme 2018-19 Series IV 31 Dec 2026 | 17/10/2023 | Buy | 6.00 | 5,895.33 | 5,903.45 | 35,420.69 |
| 2.50% Sovereign Gold Bond Scheme 2018-19 Series V 22 Jan 2027 | 17/10/2023 | Buy | 1.00 | 5,905.81 | 5,913.93 | 5,913.93 |
| 2.50% Sovereign Gold Bond Scheme 2017 18 Series II 28 Jul 2025 | 17/10/2023 | Buy | 5.00 | 5,909.28 | 5,917.40 | 29,587.00 |
| 2.50% Sovereign Gold Bond Tranche VII 17-Mar-2025 | 17/10/2023 | Buy | 6.00 | 5,918.66 | 5,926.79 | 35,560.72 |
| Total | | | | | | 782,633.79 |

*Net Rate includes brokerage, stamp duty, tax and any charge customarily included in the contract note of broker, STT etc.

(iii) Holding Report as of 31/12/2023

| Security Name | Quantity | Average Cost | Market Rate | Total Cost | Market Value | % to Portfolio |
|--|----------|--------------|-------------|------------|--------------|----------------|
| Bonds / Debentures | | | | | | |
| 2.50% Sovereign Gold Bond Scheme 2017 18 Series II 28 Jul 2025 | 23.00 | 5,859.70 | 6,250.14 | 134,773.06 | 144,444.80 | 16.99 |

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Account : 7410076 FRACTAL CAPITAL INVESTMENTS LLP

FCI LLP WEALTH OPTIMIZER II

(iii) Holding Report as of 31/12/2023

| Security Name | Quantity | Average Cost | Market Rate | Total Cost | Market Value | % to Portfolio |
|--|----------|--------------|-------------|-------------------|-------------------|----------------|
| Bonds / Debentures | | | | | | |
| 2.50% Sovereign Gold Bond Scheme 2017-18 Series XIII 26 Dec 2025 | 9.00 | 5,838.23 | 6,275.00 | 52,544.09 | 56,806.38 | 6.68 |
| 2.50% Sovereign Gold Bond Scheme 2018-19 Series I 04 May 2026 | 27.00 | 5,808.12 | 6,275.00 | 156,819.25 | 170,808.78 | 20.09 |
| 2.50% Sovereign Gold Bond Scheme 2018-19 Series IV 31 Dec 2026 | 24.00 | 5,849.01 | 6,280.00 | 140,376.21 | 151,811.65 | 17.85 |
| 2.50% Sovereign Gold Bond Scheme 2018-19 Series V 22 Jan 2027 | 19.00 | 5,844.26 | 6,299.00 | 111,040.88 | 120,355.27 | 14.16 |
| 2.50% Sovereign Gold Bond Tranche VII 17-Mar-2025 | 6.00 | 5,926.79 | 6,301.00 | 35,560.72 | 37,933.53 | 4.46 |
| 2.50% SovereignGold Bond Scheme 2017-18 Series I 12 May 2025 | 26.00 | 5,827.68 | 6,290.00 | 151,519.57 | 164,760.16 | 19.38 |
| | | | | 782,633.79 | 846,920.57 | 99.61 |
| Cash / Bank | | | | | | |
| Cash | 3,341.32 | 1.00 | 0.00 | 3,341.32 | 3,341.32 | 0.39 |
| | | | | 3,341.32 | 3,341.32 | 0.39 |
| Total | | | | 785,975.11 | 850,261.90 | 100.00 |

Investments in the securities of associates/related parties of Portfolio Manager

(i) Transaction wise

| Sr No | Issuer Name | Type of Security | ISIN | Transaction wise details | | |
|-------|-------------|------------------|------|-----------------------------|----------|-------------|
| | | | | Transaction Date Buy / Sell | Quantity | Gross Value |
| | | | | | | Net Value |

(ii) Security wise

| Sr No | Issuer Name | Type of Security | ISIN | Security wise details | | |
|-------|-------------|------------------|------|-----------------------|--|------------------|
| | | | | Investment Amount | Value of Investment % w.r.t client's AUM | % w.r.t PM's AUM |

(iii) Details regarding passive breach of investment limits

| Sr No | Details of passive breach | Date of passive breach | Details of steps taken, if any, to rectify the passive breach of limits | Date of rectification | Whether rectified within 90 days |
|-------|---------------------------|------------------------|---|-----------------------|----------------------------------|
|-------|---------------------------|------------------------|---|-----------------------|----------------------------------|

(iv) Details of credit ratings of investments in debt and hybrid securities

| Sr No | Issuer Name | Type of Security | ISIN | Rating Agency | Rating |
|-------|-------------|------------------|------|---------------|--------|
|-------|-------------|------------------|------|---------------|--------|

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From 01/10/2023 To 31/12/2023

Account : 7410032 FRACTAL CAPITAL INVESTMENTS LLP
FCI LLP WEATH OPTIMIZER

Account Statement

Name of Distributor: Fractal Capital Investments LLP

Account overview:

Particulars

| | |
|--|---|
| Name of the Client | FRACTAL CAPITAL INVESTMENTS LLP |
| PAN | AAGFF5187K |
| Address | C-402, LOKHANDWALA RESIDENCY, OFF E MOSES ROAD L R PAPAN MARG, WORLI MUMBAI 400018 MAHARASHTRA |
| Email | COMPLIANCE@FRACTALCAPITAL.IN |
| Phone Number | 9820289028 |
| Unique Client Code | FCIJA065 |
| Account Activation date | 20/04/2021 |
| Type of Portfolio Management Service | Discretionary |
| Investment approach for the account | FCI LLP WEATH OPTIMIZER |
| Benchmark for the investment approach | CRISIL Composite Bond Fund Index |
| Amount managed under the Investment Approach (in INR Crores) | 17.89 |
| % AUM under investment approach | 29.44 % |
| Joint Holder | Fractal Capital Investments |

Portfolio Details:

Portfolio Allocation

| Type of Security | Purchase Value (in INR) | Market Value as on 31/12/2023 (in INR) | Assets Under Management (%) |
|--------------------|-------------------------|--|-----------------------------|
| Mutual Funds | 4,486,237.08 | 5,333,133.78 | 10.13% |
| Bonds / Debentures | 43,876,307.81 | 47,157,189.06 | 89.56% |
| Cash / Bank | 161,661.44 | 161,661.44 | 0.31% |
| Total | 48,524,206.33 | 52,651,984.28 | 100.00% |

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Account : 7410032 FRACTAL CAPITAL INVESTMENTS LLP

FCI LLP WEATH OPTIMIZER

Portfolio Summary:

| Particulars | (in INR) |
|----------------------------------|---------------|
| Portfolio Value at the beginning | 53,032,979.59 |
| Portfolio Value at the end | 52,651,984.28 |
| For the report period | |
| 1. Capital Inflow | 0.00 |
| 2. Capital Outflow | 1,337,577.54 |
| 3. Interest Income | 375,769.15 |
| 4. Dividend Income | 0.00 |
| 5. Other Income | 0.00 |
| Change in accruals | 741,355.39 |
| 6. Management Fee | 0.00 |
| 7. Performance Fee | 0.00 |
| 8. Expenses at actual | 0.00 |
| 9. Other expenses | 6,445.75 |
| 10. Realized Gain/ Loss | 30,729.35 |
| 11. Unrealized Gain/ Loss | -184,825.92 |
| Commission paid to Distributor | 0.00 |
| Brokerage paid | 0.00 |

Performance of Portfolio:

Performance report for Client Portfolio and Investment Approach (TWRR %)

| Particulars | 1 Year | 3 Years | 5 Years | 10 Years | Since Inception |
|---|--------|---------|---------|----------|-----------------|
| Returns of Client Portfolio | 12.18 | | | | 9.07 |
| Aggregate Returns of Investment Approach | 9.73 | | | | 7.85 |
| Benchmark Performance(CRISIL Composite Bond Fund Index) | 7.29 | | | | 4.97 |

| Internal Rate of Return | XIRR% |
|---|-------|
| XIRR of the client portfolio | 9.07 |
| Maximum XIRR of the investment approach | 10.22 |
| Minimum XIRR of the investment approach | 0.66 |
| Median XIRR of the investment approach | 3.07 |

Please note that performance of your portfolio may vary from that of other investors and that generated by the Investment Approach across all investors because of 1) the timing of inflows and outflows of funds and 2) differences in the portfolio composition because of restrictions and other constraints 3) Performance data for Portfolio Manager and Investment Approach provided hereunder is not verified by any regulatory authority.

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FCI LLP WEATH OPTIMIZER

Transaction Details:

(i) Capital Contribution (from inception till end of reporting period)

| Date | Capital Inflow | Capital Outflow |
|------------|----------------|-----------------|
| 20/04/2021 | 20,000,000.00 | 0.00 |
| 08/06/2021 | 50,000.00 | 0.00 |
| 16/07/2021 | 23,523.57 | 0.00 |
| 16/07/2021 | 31,620,916.43 | 0.00 |
| 02/09/2021 | 0.00 | 950,000.00 |
| 17/11/2021 | 0.00 | 200,000.00 |
| 29/11/2021 | 0.00 | 100,000.00 |
| 28/01/2022 | 0.00 | 200,000.00 |
| 02/03/2022 | 0.00 | 100,000.00 |
| 25/03/2022 | 0.00 | 2,000,000.00 |
| 29/03/2022 | 0.00 | 500,000.00 |
| 28/04/2022 | 0.00 | 700,000.00 |
| 31/05/2022 | 0.00 | 300,000.00 |
| 01/07/2022 | 0.00 | 494,887.74 |
| 02/07/2022 | 0.00 | 842.88 |
| 02/08/2022 | 0.00 | 870.97 |
| 29/08/2022 | 0.00 | 100,000.00 |
| 02/09/2022 | 0.00 | 871.00 |
| 03/10/2022 | 0.00 | 843.88 |
| 07/10/2022 | 0.00 | 600,000.00 |
| 09/11/2022 | 0.00 | 871.00 |
| 06/12/2022 | 0.00 | 843.65 |
| 30/12/2022 | 0.00 | 400,000.00 |
| 03/01/2023 | 0.00 | 871.00 |
| 31/01/2023 | 0.00 | 871.00 |
| 04/03/2023 | 0.00 | 787.00 |
| 14/03/2023 | 0.00 | 250,000.00 |
| 31/03/2023 | 0.00 | 8,336.00 |
| 31/03/2023 | 0.00 | 650,000.00 |
| 03/05/2023 | 0.00 | 843.00 |
| 31/05/2023 | 0.00 | 9,267.00 |
| 01/06/2023 | 0.00 | 3,296.00 |
| 05/06/2023 | 0.00 | 400,000.00 |
| 13/06/2023 | 0.00 | 100,000.00 |
| 28/06/2023 | 0.00 | 9,577.00 |
| 03/07/2023 | 0.00 | 9,175.07 |
| 04/07/2023 | 0.00 | 843.00 |
| 06/07/2023 | 0.00 | 250,000.00 |
| 01/08/2023 | 0.00 | 10,138.00 |
| 05/08/2023 | 0.00 | 1,826.03 |
| 07/08/2023 | 0.00 | 150,000.00 |
| 25/08/2023 | 0.00 | 514,734.09 |

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FCI LLP WEATH OPTIMIZER

Transaction Details:

(i) Capital Contribution (from inception till end of reporting period)

| Date | Capital Inflow | Capital Outflow |
|--------------|----------------------|----------------------|
| 28/08/2023 | 0.00 | 9,577.00 |
| 31/08/2023 | 0.00 | 3,289.00 |
| 05/09/2023 | 0.00 | 1,826.03 |
| 28/09/2023 | 0.00 | 51,335.00 |
| 30/09/2023 | 0.00 | 843.00 |
| 05/10/2023 | 0.00 | 1,767.00 |
| 06/10/2023 | 0.00 | 900,000.00 |
| 30/10/2023 | 0.00 | 9,267.10 |
| 31/10/2023 | 0.00 | 871.40 |
| 06/11/2023 | 0.00 | 1,826.03 |
| 28/11/2023 | 0.00 | 9,575.89 |
| 29/11/2023 | 0.00 | 300,000.00 |
| 30/11/2023 | 0.00 | 3,235.88 |
| 30/11/2023 | 0.00 | 100,000.00 |
| 05/12/2023 | 0.00 | 1,767.12 |
| 28/12/2023 | 0.00 | 9,267.12 |
| Total | 51,694,440.00 | 10,425,042.87 |

(ii) Investments (during the reporting period)

| Security Name | Transaction Date | Buy / Sell | Quantity | Gross Rate | Net Rate* | Net Transaction Value |
|--|------------------|------------|----------|------------|-----------|-----------------------|
| HDFC Credit Risk Debt Fund - Growth Option - Direct Plan | 28/11/2023 | Sell | 4,418.70 | 22.63 | 22.63 | 100,000.00 |
| ICICI Prudential Credit Risk Fund - Direct Plan - Growth | 28/11/2023 | Sell | 3,308.49 | 30.23 | 30.23 | 100,000.00 |
| Total | | | | | | 200,000.00 |

*Net Rate includes brokerage, stamp duty, tax and any charge customarily included in the contract note of broker, STT etc.

(iii) Holding Report as of 31/12/2023

| Security Name | Quantity | Average Cost | Market Rate | Total Cost | Market Value | % to Portfolio |
|--|------------|--------------|--------------|---------------------|---------------------|----------------|
| Mutual Funds | | | | | | |
| Axis Gilt Fund - Direct Plan - Growth Option | 0.12 | 20.71 | 23.66 | 2.44 | 2.79 | 0.00 |
| HDFC Credit Risk Debt Fund - Growth Option - Direct Plan | 142,066.20 | 19.30 | 22.79 | 2,741,437.24 | 3,237,660.26 | 6.15 |
| ICICI Prudential Credit Risk Fund - Direct Plan - Growth | 68,719.05 | 25.39 | 30.49 | 1,744,797.40 | 2,095,470.73 | 3.98 |
| | | | | 4,486,237.08 | 5,333,133.78 | 10.13 |
| Bonds / Debentures | | | | | | |
| 9.62 ANDRA PRADESH STATE BEVERAGES CORPORATION LIMITED 31 MAY 2032 | 1.00 | 1,025,081.00 | 1,016,863.00 | 1,025,081.00 | 1,025,296.97 | 1.95 |

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FCI LLP WEATH OPTIMIZER

(iii) Holding Report as of 31/12/2023

| Security Name | Quantity | Average Cost | Market Rate | Total Cost | Market Value | % to Portfolio |
|--|------------|--------------|--------------|----------------------|----------------------|----------------|
| Bonds / Debentures | | | | | | |
| FINCARE SMALL FINANCE BANK LIMITED 10.75 LOA 05JN29 FV RS 1 LAC | 20.00 | 98,750.10 | 98,082.40 | 1,975,002.00 | 1,977,552.11 | 3.76 |
| INDUSIND BANK LTD 10.50% UNSEC NON CON BASEL III ISD 28 MAR 2018 MAT 31 DEC 2999 | 19.00 | 1,002,265.19 | 998,149.00 | 19,043,038.53 | 20,489,776.21 | 38.92 |
| MUTHOOT FINCORP LIMITED 10.26 NCD 31DC27 FVRS1LAC | 10.00 | 99,530.10 | 101,190.90 | 995,301.00 | 1,020,904.07 | 1.94 |
| PIRAMAL CAPITAL & HOUSING FINANCE LIMITED 6.75 LOA 26SP31 FVRS975 | 13,267.00 | 763.98 | 773.50 | 10,135,682.91 | 10,471,850.65 | 19.89 |
| SHRIRAM TRANSPORT FINANCE COMPANY LIMITED SR PP SD-03 10.25 NCD 27DC24 FVRS10LAC | 11.00 | 888,796.58 | 1,012,133.00 | 9,776,762.37 | 11,145,586.66 | 21.17 |
| YES BANK LIMITED 9.15 BD 30JU25 FVRS10LAC | 1.00 | 925,440.00 | 979,595.00 | 925,440.00 | 1,026,222.40 | 1.95 |
| | | | | 43,876,307.81 | 47,157,189.06 | 89.56 |
| Cash / Bank | | | | | | |
| Cash | 161,661.44 | 1.00 | 0.00 | 161,661.44 | 161,661.44 | 0.31 |
| | | | | 161,661.44 | 161,661.44 | 0.31 |
| Total | | | | 48,524,206.33 | 52,651,984.28 | 100.00 |

Investments in the securities of associates/related parties of Portfolio Manager

(i) Transaction wise

| Transaction wise details | | | | | | |
|--------------------------|-------------|------------------|------|-----------------------------|----------|-------------|
| Sr No | Issuer Name | Type of Security | ISIN | Transaction Date Buy / Sell | Quantity | Gross Value |
| Net Value | | | | | | |

(ii) Security wise

| Security wise details | | | | | | |
|-----------------------|-------------|------------------|------|-------------------|--|------------------|
| Sr No | Issuer Name | Type of Security | ISIN | Investment Amount | Value of Investment % w.r.t client's AUM | % w.r.t PM's AUM |

(iii) Details regarding passive breach of investment limits

| Sr No | Details of passive breach | Date of passive breach | Details of steps taken, if any, to rectify the passive breach of limits | Date of rectification | Whether rectified within 90 days |
|-------|---------------------------|------------------------|---|-----------------------|----------------------------------|
|-------|---------------------------|------------------------|---|-----------------------|----------------------------------|

(iv) Details of credit ratings of investments in debt and hybrid securities

| Sr No | Issuer Name | Type of Security | ISIN | Rating Agency | Rating |
|-------|-------------|------------------|------|---------------|--------|
|-------|-------------|------------------|------|---------------|--------|

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