



Fractal Capital Investments LLP  
AWFIS, 10th Floor, Parinee Cresenzo,  
B-Wing, G - Block, Bandra Kurla Complex, Bandra East  
Mumbai - 400051  
Maharashtra

## PMS INVESTOR REPORT

SEBI Registration Number - INP000007146

From 01/07/2023 To 30/09/2023

Account : 7410040 BHABESH DEKA (NRO) - FCIJA084

FCI LLP WEALTH BUILDER

### Account Statement

Name of Distributor: Fractal Capital Investments LLP

#### Account overview:

##### Particulars

Name of the Client	BHABESH DEKA (NRO) - FCIJA084
PAN	AADPD3837B
Address	3735 SUNSET MANOR LANE , KATY TX 77450 USA MANOR LANE , MANOR - 400083
Email	BHABESH_DEKA@HOTMAIL.COM
Phone Number	+1 183272684
Unique Client Code	FCIJA084
Account Activation date	03/06/2022
Type of Portfolio Management Service	Discretionary
Investment approach for the account	FCI LLP WEALTH BUILDER
Benchmark for the investment approach	S&P BSE 500 TRI
Amount managed under the Investment Approach (in INR Crores)	20.50
% AUM under investment approach	3.56 %

#### Portfolio Details:

Portfolio Allocation			
Type of Security	Purchase Value (in INR)	Market Value as on 30/09/2023 (in INR)	Assets Under Management (%)
Shares	4,217,557.44	5,641,178.60	77.25%
Cash / Bank	1,661,283.95	1,661,283.95	22.75%
<b>Total</b>	<b>5,878,841.39</b>	<b>7,302,462.55</b>	<b>100.00%</b>

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FCI LLP WEALTH BUILDER

### Portfolio Summary:

Particulars	(in INR)
Portfolio Value at the beginning	5,976,062.35
Portfolio Value at the end	7,302,462.55
For the report period	
1. Capital Inflow	1,000,000.00
2. Capital Outflow	49,674.59
3. Interest Income	8,861.00
4. Dividend Income	37,479.80
5. Other Income	0.00
Change in accruals	-3,088.35
6. Management Fee	21,383.09
7. Performance Fee	0.00
8. Expenses at actual	1,297.56
9. Other expenses	2,560.64
10. Realized Gain/ Loss	172,164.33
11. Unrealized Gain/ Loss	185,899.30
Commission paid to Distributor	0.00
Brokerage paid	1,297.23

### Performance of Portfolio:

#### Performance report for Client Portfolio and Investment Approach (TWRR %)

Particulars	1 Year	3 Years	5 Years	10 Years	Since Inception
Returns of Client Portfolio	37.48				38.92
Aggregate Returns of Investment Approach	40.27				37.24
Benchmark Performance(S&P BSE 500 TRI)	17.48				17.42

Internal Rate of Return	XIRR%
XIRR of the client portfolio	39.48
Maximum XIRR of the investment approach	39.94
Minimum XIRR of the investment approach	1.50
Median XIRR of the investment approach	19.54

**Please note that performance of your portfolio may vary from that of other investors and that generated by the Investment Approach across all investors because of 1) the timing of inflows and outflows of funds and 2) differences in the portfolio composition because of restrictions and other constraints.**

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Account : 7410040 BHABESH DEKA (NRO) - FCIJA084

FCI LLP WEALTH BUILDER

### Transaction Details:

#### (i) Capital Contribution (from inception till end of reporting period)

Date	Capital Inflow	Capital Outflow
03/06/2022	3,000,000.00	0.00
30/06/2022	0.00	3,226.70
02/08/2022	0.00	382.80
03/08/2022	0.00	889.31
04/08/2022	0.00	222.00
12/08/2022	0.00	275.60
17/08/2022	0.00	116.00
23/08/2022	0.00	301.60
01/09/2022	0.00	135.00
20/09/2022	0.00	5,259.00
29/09/2022	0.00	420.06
30/09/2022	0.00	3,984.24
21/10/2022	0.00	184.82
02/11/2022	0.00	382.91
28/11/2022	0.00	240.00
30/11/2022	0.00	7,949.00
20/12/2022	0.00	7,142.00
31/12/2022	0.00	1,857.02
01/02/2023	0.00	382.91
07/02/2023	0.00	70.74
15/02/2023	0.00	187.43
21/02/2023	0.00	337.00
03/03/2023	0.00	184.82
13/03/2023	38.02	0.00
28/03/2023	0.00	1,000.00
31/03/2023	0.00	573.77
10/04/2023	0.00	10,624.00
12/04/2023	1,500,000.00	0.00
09/05/2023	0.00	689.12
06/06/2023	0.00	22,004.00
27/06/2023	0.00	195.00
30/06/2023	0.00	1,599.00
15/07/2023	0.00	2,605.00
21/07/2023	0.00	1,194.40
25/07/2023	0.00	155.71
03/08/2023	0.00	411.77
04/08/2023	0.00	1,405.00
05/08/2023	0.00	106.84
14/08/2023	0.00	581.00
18/08/2023	0.00	713.00
19/08/2023	0.00	25,333.00
28/08/2023	0.00	326.48

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06/10/2023



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From 01/07/2023 To 30/09/2023

Account : 7410040 BHABESH DEKA (NRO) - FCIJA084

FCI LLP WEALTH BUILDER

### Transaction Details:

#### (i) Capital Contribution (from inception till end of reporting period)

Date	Capital Inflow	Capital Outflow
30/08/2023	0.00	458.64
31/08/2023	0.00	444.05
01/09/2023	0.00	1,171.53
01/09/2023	500,000.00	0.00
05/09/2023	500,000.00	0.00
11/09/2023	0.00	6,713.00
14/09/2023	0.00	4,460.00
21/09/2023	0.00	830.54
30/09/2023	0.00	2,764.63
<b>Total</b>	<b>5,500,038.03</b>	<b>120,490.44</b>

#### (ii) Investments (during the reporting period)

Security Name	Transaction Date	Buy / Sell	Quantity	Gross Rate	Net Rate*	Net Transaction Value
HCL Technologies Ltd	13/07/2023	Sell	184.00	1,113.07	1,110.84	204,394.17
Eris Lifesciences Ltd	17/08/2023	Sell	446.00	799.00	797.40	355,639.79
Wipro Ltd	07/09/2023	Sell	632.00	431.99	431.13	272,472.48
ICICI Bank Ltd	12/09/2023	Sell	129.00	987.90	985.93	127,184.66
Kotak Mahindra Bank Ltd	12/09/2023	Buy	186.00	1,805.00	1,808.61	336,401.73
<b>Total</b>						<b>1,296,092.83</b>

\*Net Rate includes brokerage, stamp duty, tax and any charge customarily included in the contract note of broker, STT etc.

#### (iii) Holding Report as of 30/09/2023

Security Name	Quantity	Average Cost	Market Rate	Total Cost	Market Value	% to Portfolio
<b>Shares</b>						
Bharti Airtel Ltd	392.00	713.84	926.40	279,824.65	363,148.80	4.97
EPL Ltd	1,594.00	159.55	186.70	254,315.77	297,599.80	4.08
Emami Ltd	1,112.00	368.94	538.45	410,260.42	598,756.40	8.20
Equitas Small Finance Bank Ltd	4,597.00	42.25	89.65	194,219.03	412,121.05	5.64
Fortis Healthcare Ltd	513.00	233.91	339.95	119,997.40	174,394.35	2.39
Godrej Agrovet Ltd	711.00	461.98	480.25	328,467.08	341,457.75	4.68
HDFC Bank Ltd	147.00	1,375.16	1,526.30	202,148.94	224,366.10	3.07
HDFC Life Insurance Co Ltd	394.00	569.68	637.40	224,454.17	251,135.60	3.44
HIL Ltd	68.00	3,009.62	2,861.95	204,654.05	194,612.60	2.67
ICICI Prudential Life Insurance Ltd	461.00	506.91	566.20	233,684.65	261,018.20	3.57
JK Lakshmi Cement Ltd	588.00	575.80	645.45	338,571.21	379,524.60	5.20
Kalpataru Projects International Ltd	820.00	394.66	631.55	323,620.60	517,871.00	7.09
Kotak Mahindra Bank Ltd	186.00	1,806.81	1,735.70	336,065.73	322,840.20	4.42
Krishna Institute of Medical Sciences Ltd	119.00	1,243.24	1,976.80	147,945.80	235,239.20	3.22
Lumax Industries Ltd	79.00	1,160.25	2,388.10	91,659.94	188,659.90	2.58

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FCI LLP WEALTH BUILDER

### (iii) Holding Report as of 30/09/2023

Security Name	Quantity	Average Cost	Market Rate	Total Cost	Market Value	% to Portfolio
<b>Shares</b>						
Mrs. Bectors Food Specialities Ltd	259.00	253.89	1,042.70	65,757.00	270,512.55	3.70
Voltas Ltd	292.00	805.22	863.30	235,123.40	252,083.60	3.45
Windlas Biotech Ltd	998.00	227.24	356.55	226,787.60	355,836.90	4.87
				<b>4,217,557.44</b>	<b>5,641,178.60</b>	<b>77.25</b>
<b>Cash / Bank</b>						
Cash	1,661,283.95	1.00	0.00	1,661,283.95	1,661,283.95	22.75
				<b>1,661,283.95</b>	<b>1,661,283.95</b>	<b>22.75</b>
<b>Total</b>				<b>5,878,841.39</b>	<b>7,302,462.55</b>	<b>100.00</b>

### Investments in the securities of associates/related parties of Portfolio Manager

#### (i) Transaction wise

Transaction wise details						
Sr No	Issuer Name	Type of Security	ISIN	Transaction Date	Buy / Sell	Quantity
				Gross Value		Net Value

#### (ii) Security wise

Security wise details						
Sr No	Issuer Name	Type of Security	ISIN	Investment Amount	Value of Investment	% w.r.t client's AUM
				% w.r.t PM's AUM		

#### (iii) Details regarding passive breach of investment limits

Sr No	Details of passive breach	Date of passive breach	Details of steps taken, if any, to rectify the passive breach of limits	Date of rectification	Whether rectified within 90 days
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#### (iv) Details of credit ratings of investments in debt and hybrid securities

Sr No	Issuer Name	Type of Security	ISIN	Rating Agency	Rating
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## PMS INVESTOR REPORT

SEBI Registration Number - INP000007146

From 01/07/2023 To 30/09/2023

Account : 7410041 BHABESH DEKA(NRO) - FCIJA085

FCI LLP OPPORTUNITIES

### Account Statement

Name of Distributor: Fractal Capital Investments LLP

#### Account overview:

##### Particulars

Name of the Client	BHABESH DEKA(NRO) - FCIJA085
PAN	AADPD3837B
Address	3735 SUNSET MANOR LANE , KATY TX 77450 USA MANOR LANE , MANOR - 400083
Email	BHABESH_DEKA@HOTMAIL.COM
Phone Number	18327268409
Unique Client Code	FCIJA085
Account Activation date	10/06/2022
Type of Portfolio Management Service	Discretionary
Investment approach for the account	FCI LLP OPPORTUNITIES
Benchmark for the investment approach	S&P BSE 500 TRI
Amount managed under the Investment Approach (in INR Crores)	9.26
% AUM under investment approach	7.85 %

#### Portfolio Details:

Portfolio Allocation			
Type of Security	Purchase Value (in INR)	Market Value as on 30/09/2023 (in INR)	Assets Under Management (%)
Shares	5,187,477.06	6,342,085.15	87.23%
Cash / Bank	928,596.07	928,596.07	12.77%
<b>Total</b>	<b>6,116,073.13</b>	<b>7,270,681.22</b>	<b>100.00%</b>

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From 01/07/2023 To 30/09/2023

Account : 7410041 BHABESH DEKA(NRO) - FCIIA085

FCI LLP OPPORTUNITIES

### Portfolio Summary:

Particulars	(in INR)
Portfolio Value at the beginning	5,781,314.55
Portfolio Value at the end	7,270,681.22
For the report period	
1. Capital Inflow	1,000,000.00
2. Capital Outflow	68,421.10
3. Interest Income	0.00
4. Dividend Income	33,124.75
5. Other Income	0.00
Change in accruals	-3,800.00
6. Management Fee	20,994.37
7. Performance Fee	0.00
8. Expenses at actual	3,301.44
9. Other expenses	2,966.04
10. Realized Gain/ Loss	398,764.94
11. Unrealized Gain/ Loss	156,959.93
Commission paid to Distributor	0.00
Brokerage paid	3,292.87

### Performance of Portfolio:

#### Performance report for Client Portfolio and Investment Approach (TWRR %)

Particulars	1 Year	3 Years	5 Years	10 Years	Since Inception
Returns of Client Portfolio	38.89				36.65
Aggregate Returns of Investment Approach	36.79				33.76
Benchmark Performance(S&P BSE 500 TRI)	17.48				18.88

Internal Rate of Return	XIRR%
XIRR of the client portfolio	39.52
Maximum XIRR of the investment approach	45.45
Minimum XIRR of the investment approach	-1.46
Median XIRR of the investment approach	33.38

Please note that performance of your portfolio may vary from that of other investors and that generated by the Investment Approach across all investors because of 1) the timing of inflows and outflows of funds and 2) differences in the portfolio composition because of restrictions and other constraints.



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From 01/07/2023 To 30/09/2023

Account : 7410041 BHABESH DEKA(NRO) - FCIJA085

FCI LLP OPPORTUNITIES

### Transaction Details:

#### (i) Capital Contribution (from inception till end of reporting period)

Date	Capital Inflow	Capital Outflow
10/06/2022	3,000,000.00	0.00
02/08/2022	0.00	212.20
03/08/2022	0.00	327.69
11/08/2022	0.00	334.00
20/08/2022	0.00	620.00
23/08/2022	0.00	296.40
26/08/2022	0.00	144.00
06/09/2022	0.00	719.00
09/09/2022	0.00	225.00
12/09/2022	0.00	1,313.00
21/09/2022	0.00	5,514.00
29/09/2022	0.00	406.95
03/10/2022	0.00	514.80
21/10/2022	0.00	120.18
02/11/2022	0.00	362.09
11/11/2022	0.00	550.00
21/12/2022	0.00	20,139.00
01/02/2023	0.00	362.09
07/02/2023	0.00	89.26
15/02/2023	0.00	241.57
02/03/2023	0.00	244.00
03/03/2023	0.00	120.18
28/03/2023	1,000.00	0.00
29/03/2023	0.00	1,348.00
12/04/2023	1,500,000.00	0.00
09/05/2023	0.00	868.88
08/06/2023	0.00	975.00
14/06/2023	0.00	24,259.00
05/07/2023	0.00	12,768.00
21/07/2023	0.00	684.60
01/08/2023	0.00	539.00
03/08/2023	0.00	374.23
05/08/2023	0.00	120.16
14/08/2023	0.00	791.00
24/08/2023	0.00	894.00
28/08/2023	0.00	271.52
30/08/2023	0.00	222.30
31/08/2023	0.00	578.95
01/09/2023	0.00	331.47
01/09/2023	500,000.00	0.00
04/09/2023	0.00	84.61
05/09/2023	500,000.00	0.00

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FCI LLP OPPORTUNITIES

### Transaction Details:

#### (i) Capital Contribution (from inception till end of reporting period)

Date	Capital Inflow	Capital Outflow
06/09/2023	0.00	997.80
12/09/2023	0.00	48,759.00
21/09/2023	0.00	1,004.46
<b>Total</b>	<b>5,501,000.00</b>	<b>128,727.39</b>

#### (ii) Investments (during the reporting period)

Security Name	Transaction Date	Buy / Sell	Quantity	Gross Rate	Net Rate*	Net Transaction Value
HCL Technologies Ltd	17/07/2023	Buy	27.00	1,159.55	1,161.86	31,370.21
Central Depository Services India Ltd	17/07/2023	Buy	36.00	1,229.40	1,231.86	44,346.81
Aavas Financiers Ltd	17/07/2023	Buy	113.00	1,550.00	1,553.10	175,500.15
Jubilant Foodworks Ltd	17/07/2023	Buy	24.00	474.31	475.24	11,405.83
Eris Lifesciences Ltd	17/08/2023	Sell	302.00	799.00	797.40	240,814.39
Windlas Biotech Ltd	31/08/2023	Buy	24.00	363.82	364.56	8,749.33
Aavas Financiers Ltd	31/08/2023	Buy	123.00	1,616.14	1,619.37	199,182.63
Procter & Gamble Health Ltd	31/08/2023	Buy	23.00	4,820.90	4,830.55	111,102.58
Equitas Small Finance Bank Ltd	08/09/2023	Buy	2,694.00	86.00	86.17	232,147.68
Colgate Palmolive India Ltd	08/09/2023	Sell	223.00	2,025.79	2,021.74	450,847.62
Bajaj Consumer Care Ltd	08/09/2023	Buy	331.00	245.90	246.39	81,555.06
Mrs. Bectors Food Specialities Ltd	08/09/2023	Sell	196.00	1,043.29	1,041.20	204,075.64
Kotak Mahindra Bank Ltd	08/09/2023	Buy	123.00	1,785.90	1,789.47	220,105.37
Jubilant Foodworks Ltd	08/09/2023	Sell	363.00	531.15	530.08	192,420.28
Jubilant Pharmova Ltd	08/09/2023	Buy	140.00	459.99	460.91	64,526.95
JK Lakshmi Cement Ltd	08/09/2023	Buy	136.00	686.51	687.88	93,551.57
Galaxy Surfactants Ltd	08/09/2023	Buy	108.00	2,734.68	2,740.15	295,936.24
HDFC Bank Ltd	08/09/2023	Sell	200.00	1,609.95	1,606.73	321,346.02
Krishna Institute of Medical Sciences Ltd	12/09/2023	Buy	12.00	2,135.00	2,139.30	25,671.62
Equitas Small Finance Bank Ltd	12/09/2023	Buy	28.00	85.25	85.41	2,391.39
Bajaj Consumer Care Ltd	12/09/2023	Buy	46.00	238.95	239.43	11,013.69
JK Lakshmi Cement Ltd	12/09/2023	Buy	211.00	662.00	663.33	139,961.68
Bharti Airtel Ltd	12/09/2023	Buy	85.00	887.85	889.74	75,627.71
<b>Total</b>						<b>3,233,650.46</b>

\*Net Rate includes brokerage, stamp duty, tax and any charge customarily included in the contract note of broker, STT etc.

#### (iii) Holding Report as of 30/09/2023

Security Name	Quantity	Average Cost	Market Rate	Total Cost	Market Value	% to Portfolio
<b>Shares</b>						
Aavas Financiers Ltd	236.00	1,586.05	1,739.30	374,308.78	410,474.80	5.65
Bajaj Consumer Care Ltd	1,236.00	173.85	225.20	214,883.81	278,347.20	3.83
Bharti Airtel Ltd	411.00	792.20	926.40	325,596.17	380,750.40	5.24

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FCI LLP OPPORTUNITIES

### (iii) Holding Report as of 30/09/2023

Security Name	Quantity	Average Cost	Market Rate	Total Cost	Market Value	% to Portfolio
<b>Shares</b>						
Central Depository Services India Ltd	145.00	1,068.18	1,359.80	154,886.59	197,171.00	2.71
Emami Ltd	978.00	386.26	538.45	377,763.12	526,604.10	7.24
Equitas Small Finance Bank Ltd	4,315.00	68.22	89.65	294,371.97	386,839.75	5.32
Fortis Healthcare Ltd	758.00	297.05	339.95	225,163.96	257,682.10	3.54
GAIL India Ltd	1,620.00	97.27	124.40	157,575.66	201,528.00	2.77
Galaxy Surfactants Ltd	108.00	2,737.42	2,542.20	295,641.24	274,557.60	3.78
HCL Technologies Ltd	259.00	975.22	1,234.80	252,580.93	319,813.20	4.40
HIL Ltd	58.00	3,234.38	2,861.95	187,594.26	165,993.10	2.28
ICICI Prudential Life Insurance Ltd	577.00	465.48	566.20	268,583.41	326,697.40	4.49
JK Lakshmi Cement Ltd	632.00	559.78	645.45	353,782.41	407,924.40	5.61
Jubilant Pharmova Ltd	635.00	380.93	435.15	241,892.91	276,320.25	3.80
Kalpataru Projects International Ltd	470.00	445.66	631.55	209,461.47	296,828.50	4.08
Kotak Mahindra Bank Ltd	123.00	1,787.69	1,735.70	219,885.37	213,491.10	2.94
Krishna Institute of Medical Sciences Ltd	250.00	1,372.65	1,976.80	343,163.67	494,200.00	6.80
Lumax Industries Ltd	103.00	1,627.30	2,388.10	167,612.25	245,974.30	3.38
Procter & Gamble Health Ltd	49.00	4,506.56	5,112.90	220,821.30	250,532.10	3.45
Windlas Biotech Ltd	1,207.00	250.13	356.55	301,907.77	430,355.85	5.92
				<b>5,187,477.06</b>	<b>6,342,085.15</b>	<b>87.23</b>
<b>Cash / Bank</b>						
Cash	928,596.07	1.00	0.00	928,596.07	928,596.07	12.77
				<b>928,596.07</b>	<b>928,596.07</b>	<b>12.77</b>
<b>Total</b>				<b>6,116,073.13</b>	<b>7,270,681.22</b>	<b>100.00</b>

### Investments in the securities of associates/related parties of Portfolio Manager

#### (i) Transaction wise

Sr No	Issuer Name	Type of Security	ISIN	Transaction wise details		
				Transaction Date Buy / Sell	Quantity	Gross Value
						Net Value

#### (ii) Security wise

Sr No	Issuer Name	Type of Security	ISIN	Security wise details		
				Investment Amount	Value of Investment % w.r.t client's AUM	% w.r.t PM's AUM

#### (iii) Details regarding passive breach of investment limits

Sr No	Details of passive breach	Date of passive breach	Details of steps taken, if any, to rectify the passive breach of limits	Date of rectification	Whether rectified within 90 days
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Please feel free to call us on 70219 50569 or write us on compliance@fractalcapital.in



Fractal Capital Investments LLP  
AWFIS, 10th Floor, Parinee Cresenzo,  
B-Wing, G - Block, Bandra Kurla Complex, Bandra East  
Mumbai - 400051  
Maharashtra

### **PMS INVESTOR REPORT**

**SEBI Registration Number - INP000007146**

From 01/07/2023 To 30/09/2023

Account : 7410041 BHABESH DEKA(NRO) - FCIJA085

FCI LLP OPPORTUNITIES

#### **(iv) Details of credit ratings of investments in debt and hybrid securities**

<b>Sr No</b>	<b>Issuer Name</b>	<b>Type of Security</b>	<b>ISIN</b>	<b>Rating Agency</b>	<b>Rating</b>
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## **"RISK FACTORS"**

The Portfolio Manager is not responsible for the loss if any, incurred or suffered by the Client. The following are the inherent risks associated in the management of Portfolio:

General Risk Factors applicable to all portfolios:

(i) The securities investments are subject to market risk and there is no assurance or guarantee that the objectives of the portfolio concepts/products will be achieved. Clients/ Investors are not being offered any guaranteed or assured return on the portfolio.

(ii) The portfolio managers do not have any previous experience/ track record. It's an entity incorporated on 8th May 2019.

(iii) All transactions of purchase and sale of securities by the Portfolio Manager or its employees, who are directly involved in investment operations, if found having conflict of interest with transactions in any of the Investor's portfolio will be disclosed to the Client.

(iv) Risk arising due to policy changes

(i) The performance may be affected by changes in government policies, general levels of interest rates and risks associated with trading volumes, liquidity and settlement systems in equity and debt markets. While Securities that are listed on the Stock Exchanges carry lower liquidity risk, the ability to sell these investments is limited by the overall trading volume on the Stock Exchanges.

(ii) The past performance does not in any manner indicate the future performance of the portfolio concepts.

(v) Risk arising from the investment objective, investment strategy and asset allocation.

The PMS is run with an objective to achieve reasonable returns consistently.

Given this background the investor investing in the PMS faces the following risks:

(i) Political, economic and / or related risks

The Assets value of the portfolio and the liquidity of the Securities may be affected by changes in government policy, taxation, interest rates, social and religious instability and political, economic or other developments in or affecting India.

(ii) Industry risk

The value of shares of companies in a particular industry may be affected due to factors affecting the industry like changes in government policy on duties, FDI or a foreign country, which is a big market for the industry, may impose restrictions on import etc.

(iii) The Indian Securities Market

The Indian stock markets in the past experienced substantial price volatility and no assurance

can be given that such volatility will not occur in future. Actual market trend may be in variance with anticipated trends hence, the decisions of the Portfolio Manager may not be always profitable.

(a) Debt Security: Investments in debt instruments and other fixed income securities are subject to default risk, liquidity risk and interest rate risk. Interest rate risk results from changes in demand and supply for money and other macroeconomic factors and creates price changes in the value of the debt instruments. Re-investment Risk: This risk refers to the interest rate levels at which cash flows received from the Securities under a particular portfolio are reinvested. The additional income from reinvestment is the "interest on interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.

(b) Gold Exchange Traded Fund: currency risks, counter party risk, liquidity risk and loss of physical gold.

(vi) Liquidity Risk

Some stocks that the investor might be invested in might not be highly liquid. Though it will be the PMS service providers endeavor to restrict investments in less liquid stocks to a lower limit, there is an exposure of liquidity risk to the investor.

(vii) Risk arising out of non-diversification

The Portfolios may be concentrated in a limited number of scrips owing to the investment objectives of respective portfolio concepts or the market conditions prevalent at various points in time. This may pose the 'non diversification risk' to the portfolio performance."